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Via ECF April 8, 2019

The Honorable P. Kevin Castel United States District Judge Southern District of New York 500 Pearl Street, Courtroom 11D New York, NY 10007

Re: In the Matter of the Arbitration between Jolen, Inc.,

Petitioner and Kundan Rice Mills, Ltd. and Kundan Care

Products, Ltd., Case No. 1:19-cv-01296-PKC

Dear Judge Castel:

We represent Petitioner Jolen, Inc. ("Jolen") in the above-referenced action and write pursuant to Rule 1(B) of Your Honor's Individual Practices to request adjournment of the Initial Pretrial Conference currently scheduled for April 11, 2019 at 11:30 a.m.

The action requests the confirmation of a partial final award issued in favor of Jolen in a pending arbitration being conducted in New York between Jolen, a U.S. corporation incorporated in the State of Connecticut, and the Kundan Respondents, Indian business entities. The partial final award determined that the Kundan Respondents had materially breached the licensing agreement in place between the parties and that the licensing agreement was terminated and as such Kundan Respondents were required to cease manufacturing, selling, or advertising Jolen trademarked products in India and elsewhere.

At present, Respondents have not appeared in this action, they have not opposed Jolen's Petition, and there is a pending unopposed anti-suit injunction motion that was brought by Order to Show Cause on Wednesday, April 3, 2019. At the Order to Show Cause hearing, at which Respondents did not appear despite their arbitration counsel acknowledging receipt of the Order, Your Honor informed Jolen's counsel that a written decision would issue on the anti-suit injunction motion this week.

"Where a respondent fails to file any opposition, a petition to confirm an arbitration award is 'treated as akin to [an unopposed] motion for summary judgment." Dist. Council No. 9 Int'l Union of Painters& Allied Trades v. Highland Glass & Metal, Inc., No. 1:18-cv-3017 (ALC), 2019 U.S. Dist. LEXIS 27676, at *5 (S.D.N.Y. Feb. 21, 2019), citing Amaprop, Ltd. v. Indiabulls Fin. Servs., 11 Civ. 2001 (PGG), 2011 U.S. Dist. LEXIS 102419, at *9 (S.D.N.Y. September 9, 2011). A copy of the Dist. Council decision is attached for ease of reference. As set forth in Dist. Council, "At no point has Respondent contested or made any objections to the

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The Honorable P. Kevin Castel April 8, 2019 Page 2

Award or the Petition. Thus, there is no indication that any justification exists for vacating, modifying, or correcting the award. Without any indication as to why the Award should not be made a judgment of the court, this Court grants substantial deference to the decision of the [arbitration body]." *Id.* There is no reason to hold otherwise in this matter.

In light of the foregoing, Jolen seeks to adjourn the Initial Pretrial Conference indefinitely or until after this Court has issued its decisions on the Petition and the anti-suit injunction.

This is the first request for adjournment and no adversary has appeared for Respondents who might consent or decline to consent to the request.

We thank the Court for its attention to this matter.

Respectfully submitted,

/s/Jeremy E. Deutsch

Jeremy E. Deutsch

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<u>Dist. Council No. 9 Int'l Union of Painters & Allied Trades v. Highland Glass & Metal,</u> <u>Inc.</u>

United States District Court for the Southern District of New York February 21, 2019, Decided; February 21, 2019, Filed 1:18-cv-3017 (ALC)

Reporter

2019 U.S. Dist. LEXIS 27676 *; 2019 WL 764933

DISTRICT COUNCIL NO. 9 INTERNATIONAL UNION OF PAINTERS AND ALLIED TRADES, A.F.L.-C.I.O., Petitioner, -against- HIGHLAND GLASS & METAL, INC., Respondent.

Core Terms

confirm, violation of article, arbitration award, arbitration, summary judgment motion, fail to register, employees, non-Union, hiring, allegations, unopposed, Certificate, attorney's, correcting, requesting, violations, deference, modifying, prosecute, benefits, overtime, vacating, Default, fringe, wages

Counsel: [*1] For District Council No. 9 International Union of Painters and Allied Trades AFL-CIO, Plaintiff: Lauren Michelle Kugielska, Barnes, Iaccarino, & Shepherd, LLP, Elmsford, NY.

Judges: ANDREW L. CARTER, JR., United States District Judge.

Opinion by: ANDREW L. CARTER, JR.

Opinion

OPINION & ORDER

ANDREW L. CARTER, JR., United States District Judge:

District Council No. 9 International Union of Painters and Allied Trades, A.F.L.-C.I.O., (hereinafter, "Petitioner") petitioned this Court to confirm an arbitration award rendered against Highland Glass & Metal, Inc. (hereinafter, "Respondent").

PROCEDURAL HISTORY

On April 5, 2018, Petitioner filed a Complaint requesting that

the Court confirm an arbitration award rendered against Respondent by the Union's Joint Trade Board on September 20, 2017. ECF No. 1 ("Petition"). On June 25, 2018, after receiving no response from Petitioner or Respondent, the Court ordered Petitioner to either file for a certificate of default or show cause as to why the case should not be dismissed for failure to prosecute. ECF No. 7. On July 6, 2018 Petitioner obtained a Clerk's Certificate of Default. ECF No. 10. On July 23, 2018, due to inactivity, the Court once again ordered Petitioner to show cause [*2] as to why the case should not be dismissed for failure to prosecute. ECF No. 11. On August 27, 2018, Petitioner filed a Motion for Summary Judgment Seeking to Confirm an Arbitration Award along with a supporting Memorandum of Law as well as affidavits and declarations. ECF Nos. 12-16. On the same date, the Court ordered Respondent to show cause as to why Petitioner's Motion should not be deemed as unopposed. ECF No. 20. To date, Respondent has yet to appear or respond to this action. As such, the Court construes Petitioner's Motion as an unopposed Motion for Summary Judgment. After careful consideration, Petitioner's Motion for Summary Judgment is hereby GRANTED.

BACKGROUND

Petitioner is a labor organization that represents industry employees. Petition, ¶ 5. Respondent is an employer that employs workers of said industry. *Id.*, ¶ 7. Both parties are subject to a trade agreement (hereinafter, the "Agreement") that covers certain employees. *Id.*, .11 9; see ECF No. 13-2, ART. XIII ("CBA"). This dispute stems from Petitioner's allegations of four violations of the Agreement by Respondent. See id.

Petitioner's allegations include Respondent's (i) failure to register a job and hiring of one non-Union [*3] employee on the job on August 4, 2017 in violation of Article XIII Section 11 Violation 2, (ii) failure to register an overtime permit with two non-Union employees on the job on August 5, 2017, in violation of Article XIII Section 11 Violation 4, (iii) failure to

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register a job and the hiring of two non-Union employees on the job on August 11, 2017, August 14, 2017, August 15, 2017, August 18, 2017, August 25, 2017, August 28, 2017 and August 29, 2017, in violation of Article XIII Section 11 Violation 2, and (iv) failure to pay wages and/or fringe benefits on August 11, 2017, in violation of Article XIII Section 11 Violation 9. Id., ¶ 10.

Pursuant to the Agreement, disputes are to be resolved by arbitration before the Union's Joint Trade Board ("JTB"). See CBA. In accordance with the grievance procedure outlined in the Agreement, an arbitration hearing was held on September 20, 2017 in front of the JTB. ECF No. 1, Ex. A ("Award"); ECF No. 14, 115. Petitioner was present. See Award. Respondent was absent. Id. Following the arbitration hearing, the JTB rendered a written award ("Award") in Petitioners' favor. Id; see Award.

Consistent with Petitioner's allegations, the JTB found Respondent "guilty" for each violation of the Agreement alleged by Petitioner. The arbitrator ordered [*4] Respondent to pay \$73,500.00 in fines (\$70,000 for registration and hiring violations, and \$3,500 for discrimination against a job steward). *Id*.

On April 23, 2018, Petitioner filed this action to confirm their arbitration award and filed an affidavit of service. See Petition; ECF No. 6. Respondents have not, to date, filed a response. Petitioner has not received any amount owed pursuant to the Award. Petition, ¶ 18. Accordingly, Petitioner requests \$73,500.00, as well as reasonable attorney's fees and the costs and disbursements of this action, along with any other relief the Court may deem just, proper, or equitable. Id.

LEGAL STANDARD

The district courts of the United States have jurisdiction to confirm labor arbitration awards pursuant to the <u>Labor Management Relations Act ("LMRA")</u>. 19 U.S.C. § 185; see Harry Hoffman Printing, Inc. v. Graphic Communications.

¹(i) failure to register a job and hiring of one non-Union employee on the job on August 4, 2017 in violation of Article XIII Section 11 Violation 2, (ii) failure to register an overtime permit with two non-Union employees on the job on August 5, 2017, in violation of Article XIII Section 11 Violation 4, (iii) failure to register a job and the hiring of two non-Union employees on the job on August 11, 2017, August 14, 2017, August 15, 2017, August 18, 2017, August 25, 2017, August 28, 2017 and August 29, 2017, in violation of Article XIII Section 11 Violation 2, and (iv) failure to pay wages and/or fringe benefits on August 11, 2017, in violation of Article XIII Section 11 Violation 9. See Award.

Intl Union, Local 261, 912 F.2d 608, 612 (2d Cir. 1990). "[T]he confirmation of an arbitration award is a summary proceeding that merely makes what is already a final arbitration award a judgment of the court." Florasynth, Inc. v. Pickholz, 750 F.2d 171, 176 (2d Cir. 1984). In general, an arbitrator's decision is given "substantial deference," and an arbitrator must simply provide a "colorable justification" for the outcome reached. Yusuf Ahmed Alghanim & Sons, W.L.L. v. Toys "R" Us, Inc., 126 F.3d 15, 23 (2d Cir. 1997) (citing In re Marine Pollution Serv., Inc., 857 F.2d 91, 94 (2d Cir. 1988)). Moreover, absent an order vacating, [*5] modifying, or correcting the award, the district court "must grant" the award. 9 U.S.C. δ 9. In situations where the respondent fails to file any opposition, "a petition to confirm an arbitration award is treated as akin to an unopposed motion for summary judgment." Amaprop Ltd. V. Indiabulls Financial Services Ltd., 2011 U.S. Dist. LEXIS 102419, 2011 WL 4344437, at 3* (quoting D.H. Blair & Co. v. Gottdiener, 462 F.3d 95, 110 (2d Cir. 2006)) (internal quotations omitted).

DISCUSSION

Here, Respondent has failed to respond to the current action, at any stage. Respondent was not present for the JTB hearing. See Award. Respondent did not respond to the Complaint. See ECF Nos. 1-21. Respondent did not respond to the Order to Show Cause issued by the Court Id. Nor did Respondent respond to Petitioner's Motion for Summary Judgment. Id. At no point has Respondent contested or made any objections to the Award or the Petition. Id. Thus, there is no indication that any justification exists for vacating, modifying, or correcting the Award. Without any indication as to why the Award should not be made a judgment of the court, this Court grants substantial deference to the decision of the JTB. Accordingly, the Award is confirmed.

CONCLUSION

For the reasons set forth above, Petitioner's Motion for Summary Judgment Seeking to Confirm an Arbitration Award is hereby **GRANTED**. The [*6] Clerk of Court is respectfully directed to enter judgment in favor of Petitioner and against Respondent in the amount of \$73,500.00.

Petitioner is hereby **ORDERED** to submit to the Court, in writing, a motion for attorney's fees on or before March 21, 2019. Petitioner is directed to serve Respondent with a copy of this order and to retain proof of service.

SO ORDERED.

Dated: February 21, 2019

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New York, New York

/s/ Andrew L. Carter, Jr.

ANDREW L. CARTER, JR.

United States District Judge

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